

05.29,02

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Takeshi SATO

Appln. No. 09/840,823

Confirmation No.: 6100

Filed: April 25, 2001

Group Art Unit: 2631

Examiner: Unknown

RECEIVED

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Technology Center 2600

For: W-CDMA TRANSMISSION RATE ESTIMATION METHOD AND DEVICE

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

- 1. Great Britain Patent Application No. 2 344 731 A, published June 14, 2000.
- 2. European Patent Application No. 0 763 901 A1, published March 19, 1997.
- 3. World Patent No. 00/16512, published March 23, 2000.
- 4. U.S. Patent No. 5,774,496 issued June 30, 1998.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution

Takeshi SATO 09/840,823

INFORMATION DISCLOSURE STATEMENT

application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the

merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a

request for continued examination (RCE) under §1.114, and therefore, no Statement under

37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

Applicant encloses herewith a copy of a corresponding Foreign Search Report citing such

documents and indicating the degree of relevance found by the foreign office.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

Respectfully submitted,

Registration No. 24,625

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Date: March 6, 2002

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Substitute for form 1449 A & B/PTO				Complete if Known		
			OIPE	Application Number	09/840,823	
INFO	RMATION I	DISCLOS	VRE T	Confirmation Number	6100	
STATEMENT BY APPLICA				Filing Date	April 25, 2001	
				First Named Inventor	Takeshi SATO	
(use as many sheets as necessary)				Art Unit	2631	
To another Mark			TO PLOSHARE	Examiner Name	Unknown	
Sheet	1	of	1	Attorney Docket Number	Q64241	

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cita	Document	Number	Publication Date MM-DD-YYYY		
	Cite No. ¹	Number	Kind Code ² (if known)		Name of Patentee or Applicant of Cited Document	
		US 5,774,496		06/30/1998	Butler et al.	
·		US				
		US			DEC	
		US			MECHIVED	
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		US			Technology Center 260	
		US			. Formology center 260	

			F	OREIGN PA	TENT DOCUM	ENTS	
Examiner Cite Initials* No.1	Foreign Patent Document			Publication Date	Name of Patentee or		
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation ⁶
		UK	2 344 731	A	06/14/2000	Oki Electric Industry Co. Ltd.	
	1	EP	0 763 902	A1	03/19/1997	Nokia Mobile Phones Ltd.	
		wo	00/16512	A1	03/23/2000	Ericsson Inc.	
	 						

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.					
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Examiner Signature	Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.